

Faculty Council on University Libraries

FY23 Annual Unit Review

February 9, 2022

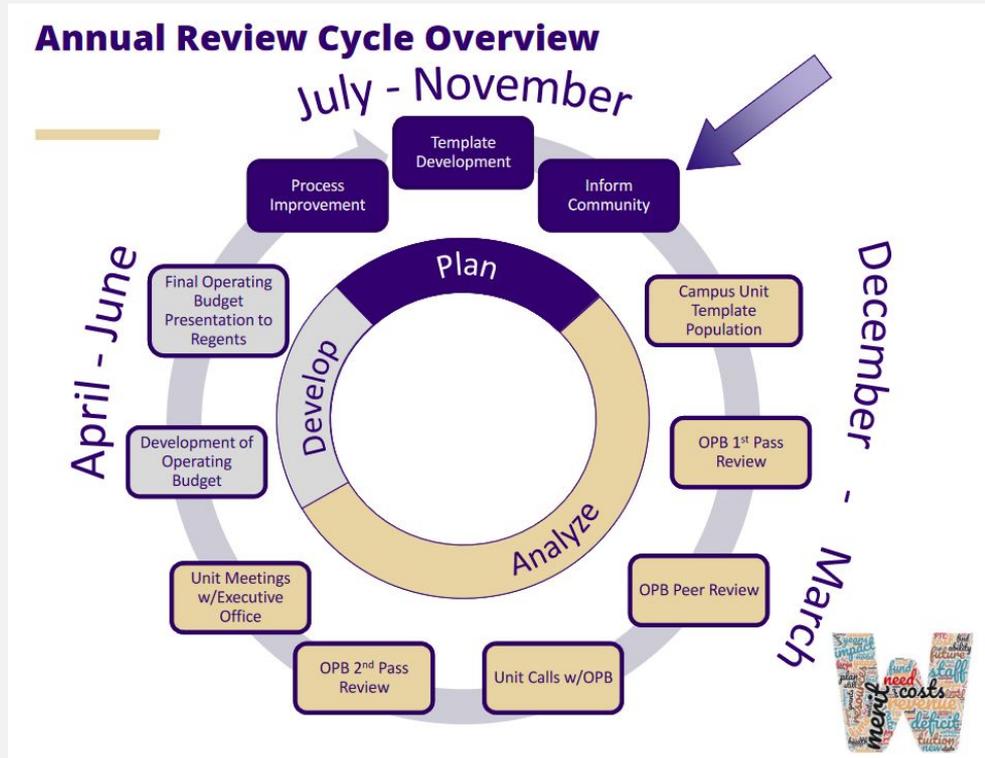
UNIVERSITY LIBRARIES



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- Annual Unit Review (Budget Development)
 - Process & Materials
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 - Narrative Key Messages and Takeaways
 - Provost Reinvestment Fund Request

FY23 Annual Review Process



- Defined by the Provost and Office of Planning & Budgeting (OPB) to look a finances and operations for campus units
- Informs the University's FY2023 operating budget
- [More info](#)

Campus Units

Academic Units

- Seattle Schools and Colleges (15)
- Campuses (2)

Seattle Administrative Units

- Institutional Infrastructure (15)
- Instructional & Student Support (6)
- **Research & Innovation (3)**
 - *Includes Libraries*



[Seattle Campus Map](#)

FY23 Annual Review Letter (excerpts)

Provost Richards

This year, while we see recovery on the horizon, our current position still requires careful planning to make progress where the pandemic delayed or halted our efforts.

We will continue to focus our attention on important and ongoing strategic areas for the University

- Race & Equity Initiative and Diversity Blueprint goals
- Compensation and critical health and safety
- Accessibility and American with Disabilities Act
- Finance Transformation
- Deferred maintenance and capital infrastructure and development needs

Annual Review Materials

Annual Review Narrative

- Section 1: Programmatic & Academic Vitality Narratives
- Section 2: Fiscal Vitality Narrative
- Section 4: Faculty/Librarian Recruitment/Retention Challenges & Hiring Plan Preview
- Section 7: Communication with Faculty & Student Stakeholders

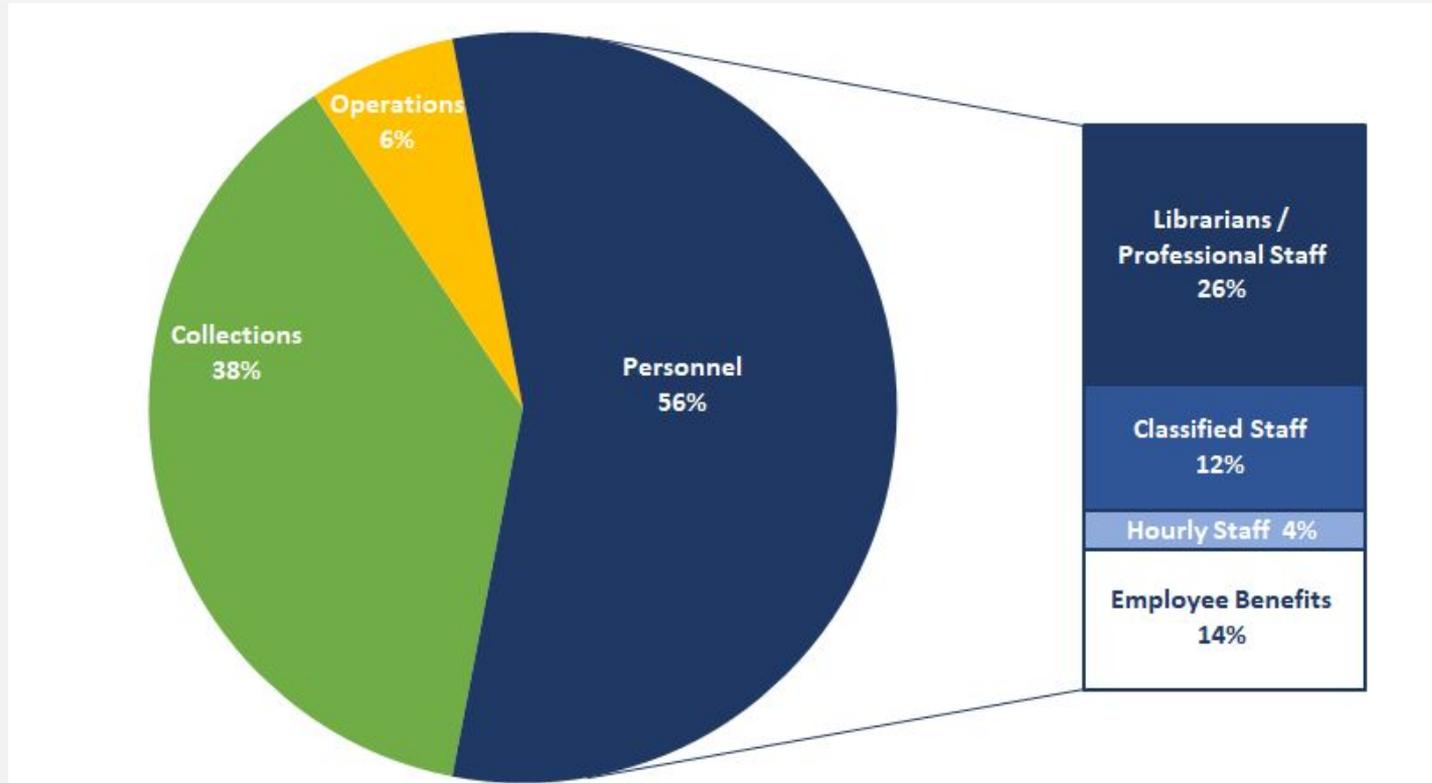
Fiscal Vitality Report XLS

- > Financial Projections
- > Carryover Spending Plan

Provost Reinvestment Fund Request

- > Request increases (temp or perm)
- > Requires unit matching funds
- > Align with strategic goals
- > **FY22 Serials and Open Access** (funded)

Libraries Org 207 (Seattle campus + Press) Biennial Expenditures (FY20 & FY21)



COVID/FY21 Fiscal Impacts

Budget permanently reduced by \$1.47 million or 4.6% in FY21.

- **Hourly budget cuts** were mitigated due to pandemic related closures of library facilities.
- One-time use of endowment funds covered much of the **budget reduction for collections** and limited an initial round of database and journal cancellations to \$125,000.

Traditionally carryover is between 3% to 5%.

During the 2019-2021 biennium, carryover grew to 10% due primarily to vacant positions and reduction in student hourly.

Carryover 2021-23 Biennium

Operations: \$3.4M allocated **\$2.2M is temporary.**

- E.g. Workday, Technology Fee, Communications, Equipment, Library software and systems, supplies, travel and professional development

Student Hourly Support: \$5M budget. ~\$1,7M is carryover funding (35% of total). With benefits **carryover total to \$2.1M**

Special Projects/Facilities. Carryover is used for facilities maintenance and minor renovations when available. One-time large projects:

- \$2M for off-site shelving renovation
- \$250K for the Health Sciences Active Edge Library

Librarian Hiring Plan

Need \$5M carryover for next biennium to fund basic operations, student hourly, reserve.

2021-22 Hiring Plan includes 18 positions.

- Prioritized 7 positions
- Recruiting for 2 positions

Status of 11 positions pending
Contingent on operational needs and budget realities.

Anticipate identifying some positions will be carried over to our 2022-23 hiring plan request.

Strategic Initiatives



[UW Photos](#)

Focus on maintaining unit operational expenses has hampered efforts to allocate funds and staffing for strategic initiatives.

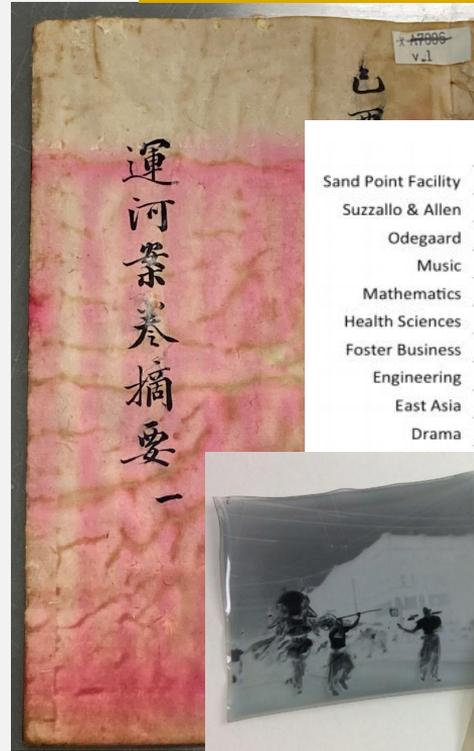
- Less funding to support our EDI work/goals
- Technological infrastructure (e.g. institutional repository for content and data)
- Digitization of unique materials

Facilities

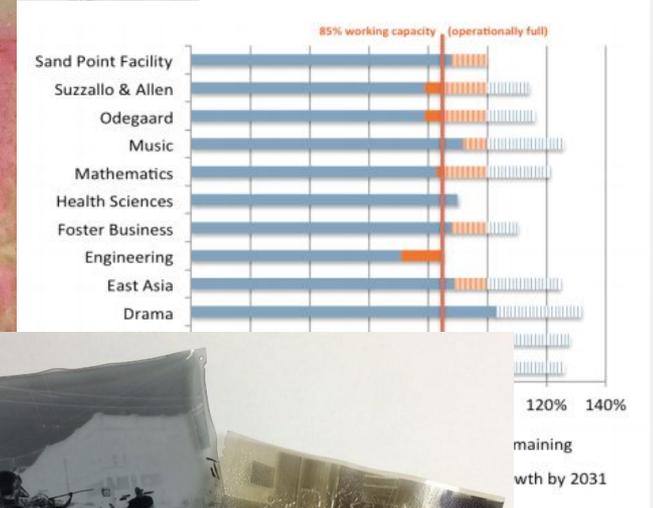
Without strategic investments in the Libraries facilities, the University is losing its investment in unique resources.

Long-term stewardship of collections requires funding for

- *More space-efficient offsite shelving*
- *Recommended environmental conditions (HVAC)*



Libraries Strategic Space Plan



Elmer Ogawa Photograph Collection

Provost Reinvestment Funds Request

Maintaining sustainability to support teaching and research collection

Serials largest portion of the collections budget at \$12 million

Anticipated 4% average increase

Request permanent increase of \$500,000

Aligns with the Diversity Blueprint with Collection as a Service model

- User-centered and collaborative in our participation in the Collective Collection
- Based on equity in attention to researcher needs and creativity in meeting those needs

Thank you!

Let us know if you have questions or comments

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